
**Guidance Notes for
Prevention of Terrorist Financing and Financing
of Proliferation of Weapons of Mass Destruction**



Bangladesh Financial Intelligence Unit

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Table of Contents

<u>Topic</u>	<u>Page No</u>
Introduction	1
1(A). What is terrorist activity?	1
1(B). Punishment for the offence of Terrorist Activity	2
2.(A) What is Terrorist Financing ?	3
2.(B) Punishment for the offence of Terrorist Financing under ATA, 2009	3
2.(C) Other related offences as per ATA, 2009	4
2.(D) Duties of BFIU and ROs	4
3. UNSCR Implementation Mechanism	4-6
4. UNSCR Implementation Architecture	7
5. Requirement of the Reporting Organizations	7-8
A) Sanctions against which ROs should create a compliance program	7
B) Requirements for the Sanction Regime	7
C) Following mechanisms should be established by reporting organizations to comply with the sanction regime.	8
D) As per MLPR ROs should do the followings	8
6. Some of the Red flags pointing to Financing of Terrorism as mentioned in ML & TF risk Management Guidelines issued by BFIU in September, 2015	8-9
<u>Annex A</u> Situation indicating possible proliferation financing activities	i-iii
<u>Annex B</u> UN Security Council Resolutions (UNSCRs) Relating to the prevention of WMD proliferation.	iv-xl
Bibliography	xli

Introduction

As per power conferred in section 15(1)(d) and 20.A(1)(j) of Anti-Terrorism Act, 2009 (ATA, 2009) Bangladesh Financial Intelligence Unit is issuing this Guidance for all reporting organizations defined under section 2(20) of ATA, 2009 to assist them combat Terrorist Financing and Financing Proliferation of Weapons of Mass Destruction.

Terrorist Financing (TF) and Financing of Proliferation (PF) are so intriguing in nature that individuals and entities involved frequently change their strategy to serve their purpose. This guidance outlines legal provisions in place to combat TF and PF a summary of non-exhaustive steps and best practices to be adopted by reporting organizations when dealing with TF and PF threat.

1. (A) What is terrorist activity?

As per Anti-Terrorism Act, 2009 Offences are described as under.

Terrorist activities. - (1) If any person, entity or foreigner

- (a) for the purposes of threatening the unity, integration, public security or sovereignty of Bangladesh by creating panic among the public or a section of the public with a view to compelling the Government or any entity or any person to do any act or preventing them from doing any act,—
 - (i) kills, causes grievous hurt to, confines or kidnaps any person or attempts to do the same;
 - (ii) conspires, abets or instigates any person to kill, injure seriously, confine or kidnap any person; or
 - (iii) damages or tries to damage the property of any other person, entity or the Republic; or
 - (iv) conspires or abets or instigates to damage the property of any other person, entity or the Republic; or
 - (v) uses or keeps in possession any explosive substance, inflammable substance and arms for the purposes of sub-clauses (i), (ii), (iii) or (iv);
- (b) with an intent to disrupt security of or to cause damage to the property of any foreign State, commits or attempts to commit or instigates or conspires or abets to commit an offence similar to the offences mentioned in sub-clauses (i), (ii), (iii), (iv) or (v) of clause (a);
- (c) with a view to compelling any international organization to do any act or preventing it from doing any act, commits or attempts to commit or instigates or conspires or abets to commit an offence similar to the offences mentioned in sub-clauses (i), (ii), (iii), (iv) or (v) of clause (a);
- (d) Knowingly uses or possesses any terrorist property;
- (e) abets, instigates, conspires to do or commits or attempts to commit an offence described in the United Nations conventions included in the Schedule 1 of this Act;

- (f) commits any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in the hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organization to do or to abstain from doing any act; the person, entity or foreigner shall be deemed to have committed the offence of “terrorist activities”;

1. (B) Punishment for the offence of Terrorist Activity as mentioned in Anti Terrorism Act 2009:

If any person or foreigner,

(a) commits an offence under sub-clause (i) of clause (a) of sub-section (1) of section 6, the person shall be punished with death or imprisonment for life and in addition to that a fine may also be imposed;

(b) commits an offence under sub-clause (ii) of clause (a) of sub-section (1) of section 6, the person shall, if the offence is punishable with death, be punished with imprisonment for life or rigorous imprisonment for a term not exceeding 14 (fourteen) years but not less than 4(four) years, and with fine;

(c) commits an offence under sub-clause (iii) of clause (a) of sub-section (1) of section 6, the person shall be punished with imprisonment for life or rigorous imprisonment for a term not exceeding 14 (fourteen) years but not less than 4(four) years, and with fine;

(d) commits an offence under sub-clause (iv) of clause (a) of sub-section (1) of section 6, the person shall be punished with rigorous imprisonment for a term not exceeding 14 (fourteen) years but not less than 4(four) years, and with fine;

(e) commits an offence under sub-clause (v) of clause (a) of sub-section (1) of section 6, the person shall be punished with imprisonment for life or rigorous imprisonment for a term not exceeding 14 (fourteen) years but not less than 4(four) years, and with fine.

➤ If any person or foreigner commits an offence under clause (b), (c), (d), (e) or (f) of sub-section (1) of section 6, the person shall be punished with imprisonment for life or rigorous imprisonment for a term not exceeding 14 (fourteen) years but not less than 4(four) years, and with fine.

➤ If any entity commits the offence of terrorist activities, then

(a) steps may be taken against the entity in accordance with section 18 and in addition to that a fine equivalent to thrice the value of the property involved with the offence or of taka 50 (fifty) lac, whichever is greater, may be imposed; and

(b) the head of that entity, whether he is designated as Chairman, Managing Director, Chief Executive or by whatever name called, shall be punished with rigorous imprisonment for a term not exceeding 20 (twenty) years but not less than 4 (four) years and, in addition to that, a fine equivalent to twice the value of the property involved with the offence or of taka 20 (twenty) lac, whichever is greater, may be imposed unless he is able to prove that the said offence was committed without his knowledge or he had tried his best to prevent the commission of the said offence.

2. A) What is Terrorist Financing ?

As per Ant-Terrorism Act, 2009 –

(1) If any person or entity willfully provides, receives, collects or makes arrangements for money, service or any other property, whether from legitimate or illegitimate source, by any means, directly or indirectly, with the intention that, it would, in full or in part, be used-

(a) to carry out terrorist activity;

(b) by a terrorist person or entity for any purpose, or is in the knowledge that it may be used by a terrorist person or entity;

the said person or entity shall be deemed to have committed the offence of terrorist financing.

(2) Conviction for terrorist financing shall not depend on any requirement that the fund, service or any other property mentioned in sub-section (1) was actually used to carry out or direct or attempt to carry out a terrorist act or be linked to a specific terrorist act.

2. B) Punishment for the offence of Terrorist Financing under ATA, 2009

If any person is convicted of any of the offences mentioned in sub-section (1) of section 7, the person shall be punished with rigorous imprisonment for a term not exceeding 20 (twenty) years but not less than 4 (four) years, and in addition to that, a fine equivalent to twice the value of the property involved with the offence or taka 10(ten) lac, whichever is greater, may be imposed.

➤ If any entity is convicted of any of the offences mentioned in the sub-section (1) of section 7 –

(a) steps may be taken against the entity in accordance with section 18 and in addition to that a fine equivalent to thrice the value of the property involved with the offence or of taka 50 (fifty) lacs, whichever is greater, may be imposed; and

(b) the head of that entity, whether he is designated as Chairman, Managing Director, Chief Executive or by whatever name called, shall be punished with rigorous imprisonment for a term not exceeding 20 (twenty) years but not less than 4 (four) years and, in addition to that, a fine equivalent to twice the value of the property involved with the offence or of taka 20 (twenty) lac, whichever is greater, may be imposed unless he is able to prove that the said offence was committed without his knowledge or he had tried his best to prevent the commission of the said offence.]

2. C) Other offences as per ATA, 2009

Supporting any proscribed entity, criminal conspiracy of committing an offence, attempt of committing an offence, aid and abetment of an offence, participating as an accomplice, organizing or directing others; or contributing, instigating terrorist activities, harbouring an offender are also punishable offence under this Act.

2. D) Duties of BFIU and ROs

Adequate powers have been assigned to BFIU through section 15 of the Anti Terrorism Act 2009 to take all necessary actions that the Unit deems fit to combat TF. Duties of the Reporting agency have been delineated in section 16 of the Act.

3. UNSCR Implementation Mechanism:

A) Measures to implement United Nations Security Council Resolutions as mentioned in section 20(A) of Anti Terrorism Act, 2009

For the purposes of implementing United Nations Security Council Resolution No. 1267 and its successor resolutions and United Nations Security Council Resolution No. 1373 and United Nations Security Council resolutions related to the prevention, suppression and disruption of proliferation of weapons of mass destruction and its financing, the Government of Bangladesh shall, in addition to the power mentioned in other sections of this Act or in any other law for the time being in force, have power of taking measures-

- (a) to freeze, seize or attach, without delay and without issuing any prior notice, the property, funds or other financial assets or economic resources held by, including funds derived or generated from property owned or controlled directly or indirectly by the listed person or entity or by any undertaking owned or controlled by the listed person or entity, or on behalf of a natural person or an entity, if the name of the person or entity is included in the lists, maintained by the committee established under Resolution NO. 1267 of the United Nations Security Council;
- (b) to freeze, seize or attach, without delay and without issuing any prior notice, the funds or other financial assets or economic resources of the person who commits, or attempts to commit terrorist acts or participates in or facilitates the commission of terrorist acts; or of entities owned or controlled directly or indirectly by such person; and of persons and entities acting on behalf of, or at the direction of such persons and entities, including funds derived or generated from property owned or controlled directly or indirectly by such person and associated persons and entities listed by the United Nations Security Council or proscribed or listed under Resolution No. 1373 of the United Nations Security Council;
- (c) to prohibit any willful provision or collection, directly or indirectly, of funds by any person or entity, whether in or outside Bangladesh, with the intention to use such funds or having the knowledge that they shall be used to carry out any terrorist act;
- (d) to prohibit any person or entity from making any funds, financial assets or economic resources of financial or other related services available, directly or indirectly, for the

benefit of the persons or entities listed by the United Nations Security Council or proscribed or listed under Resolution No. 1373 or of entities owned or controlled, directly or indirectly, by such persons and of persons and entities acting on behalf of or at the direction of such persons;

- (e) to prevent the entry into or the transit through Bangladesh of the persons listed by the United Nations Security Council through effective border control and immigration measures;
- (f) to prevent any direct or indirect supply, sale and transfer, in or outside Bangladesh, of arms and ammunition and other related items, materials, equipment, goods and technologies to the persons or entities listed by the United Nations Security Council;
- (g) to deny permission for any aircraft to take off or land in their territory if it is owned, leased or operated by or on behalf of the persons or entities listed by the United Nations Security Council;
- (h) to prevent illicit trafficking in nuclear, chemical or biological weapons, their means of delivery and related materials, including through inspection of cargo to and from the persons or entities listed by the United Nations Security Council;
- (i) to prohibit and prevent any activity mentioned in the said Resolutions and related with the persons and entities listed by the United Nations Security Council;
- (j) to issue directions, from time to time, to the reporting agencies by Bangladesh Financial Intelligence Unit for proper implementation of this section;
- (k) to determine, by issuing order or notification, the appropriate authority to take required actions as per the power stated in clauses (a) to (i).

B) Punishment for the offence of violating United Nations Security Council Resolutions as mentioned in Anti Terrorism Act 2009-

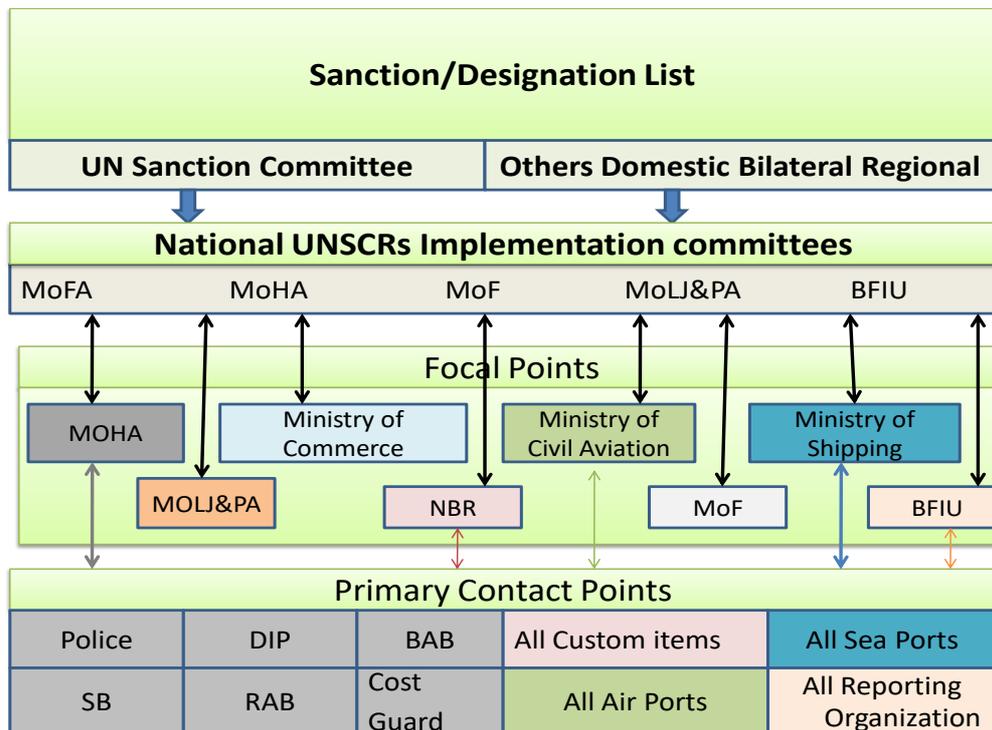
- a) If any person or entity violates a freezing or attachment order issued under this section, the person or the concerned person of the entity shall be punished with imprisonment for a term not exceeding 04(four) years or with a fine equivalent to twice the value of the property subject to freeze or attachment, or with both.
- b) If any person or entity does any act or fails to do an act in contravention of clauses (c) and (d) of sub-section (1) of 20(A), the said person or entity shall be deemed to have committed an offence of financing of terrorist activities and shall be punished according to the provisions of sub-section (3), (4)(a) or, as the case may be, (4)(b) of section 7.
- c) If any person or entity does any act or fails to do an act in contravention of clauses (e) to (h) of sub-section (i), the person or entity shall be deemed to have committed an offence

of terrorist activity and shall be punished according to the provisions of sub-section (2), (3)(a) or, as the case may be, (3)(b) of section 6.

- d) If any reporting agency fails to comply with the directions issued by Bangladesh Financial Intelligence Unit under this section, or fails to take immediate freezing action required under this section, the said reporting agency shall be liable to pay a fine, determined and directed by Bangladesh Financial Intelligence Unit, not exceeding taka 25 (twenty five) lac but not less than 05 (five) lac or twice the value of the suspected fund, whichever is greater, and Bangladesh Bank may also suspend the registration or license with intent to stop operation of the said agency or any of its branches, service centers, booths or agents within Bangladesh or, as the case may be, shall inform the registering or licensing authority about the subject matter to take appropriate action against the agency.
- (e) If any charge of negligence in implementing the provisions of this section is proved against any public servant, administrative actions shall follow in accordance with his respective service rules.

- C) Besides Money Laundering Prevention Rules 2013, Circular and Circular Letter issued by BFIU; Statutory Regulatory Order (SRO) issued by Ministry of Foreign Affairs (MoFA) under The United Nations (Security Council) Act, 1948; Comprehensive Implementation Procedures outlined in Anti Terrorism Rules, 2013; Agency Specific Implementation Guidelines contains detailed guidance on combating TF and PF.
- D) Part iii of MLPR, 2013 provides detailed instructions on Freezing of account or suspension of transaction by BFIU, Penalties imposed by the BFIU, Domestic proscription and enlistment, Requesting other country to take reasonable measures under the authority of UNSCR 1373, Review of proscription or enlistment order, Proposing a name to the 1267 Committee of the United Nations Security Council, Proposing a name to the 1988 Committee of the United Nations Security Council.
- E) Part IV of the MLPR, 2013 provides adequate instructions on Implementation of the provisions of United Nations Security Council Resolutions
- F) Part V of the MLPR, 2013 provides detailed instructions on Freeze, seize, attachment or confiscation of proceeds of terrorism

4. UNSCR Implementation Architecture



5. Requirements of the Reporting Organizations

A) Sanctions against which ROs should create a compliance program:

- UNSCRs 1267 and its successor resolutions including UNSCR 2178;
- UNSCRs 1373 and its successors resolutions including UNSCRs 2178
- UNSCRs 1540
- UNSCRs 1718
- UNSCRs 1737 and its successor's resolutions including UNSCRs 2231.

B) Requirements for the Sanction regime

Sanctions regimes narrowly require a specific legal base and/or course of actions for the followings:

- Trade embargos;
- Travel bans;
- Freezing of Assets; and
- Economic sanctions.

Trade embargos and freezing of assets are directly related with the reposting organizations. A reporting organization must ensure that they are not maintaining or continuing business relationship with sanctioned/designated person and not engaged in the trade activities with sanctioned individual, entity or territories. Beneficial ownership issues should be consider very carefully and critically while complying with the sanction regime, as money launderer of sanctioned individual or entities always try to hide them from front person or legal entity.

C) Following mechanisms should be established by reporting organizations to comply with the Sanction regime:

- Put in place a comprehensive policy approved by the Board of Directors;
- Ensure all relevant sanctions lists (updated) are used electronically to detect the existence of the sanctioned individuals, entities, or territories;
- Ensure that existing arrangements of customer screening are able to detect the relevant lists of named terrorist and sanctioned entities.
- Ensure that existing arrangements of customer screening are able to detect the relevant lists of trade embargos;
- Conduct real-time transaction screening on all cross-border payments, SWIFT and other modes of payments in relation to relevant lists of named terrorist and sanctioned entities, or embargos;
- Freeze the accounts and the relevant transaction in relation to relevant lists of named terrorist and sanctioned entities, or embargos immediately;
- Report to the detected incidents to the BFIU without delay;
- Keep records or audit trail for all sorts of monitoring mechanism including the false positives;
- Take necessary training and awareness building arrangements; and
- Review the existing policies with any additional requirements of sanction regime.

D) As per MLPR ROs should do the followings:

- Maintain and update the listed individuals and entities in electronic form;
- Regularly run a check at the website of United Nations for updated list;
- Run regular check on the given parameters, including transactional review, to verify;
- In case of a match found the ROs shall immediately stop payment or transaction of funds, financial assets or economic resources;
- Report to the BFIU within the next working day with full particulars.

6. Some of the Red Flags pointing to Financing of Terrorism as mentioned in ML & TF risk Management Guidelines issued by BFIU in September, 2015

Behavioural Indicators:

- The parties to the transaction (owner, beneficiary, etc.) are from countries known to support terrorist activities and organizations.
- Use of false corporations, including shell-companies.
- Inclusion of the individual or entity in the United Nations 1267 Sanctions list.
- Media reports that the account holder is linked to known terrorist organizations or is engaged in terrorist activities.
- Beneficial owner of the account not properly identified. Use of nominees, trusts, family members or third party accounts.
- Use of false identification.
- Abuse of non-profit organization.

Indicators linked to the financial transactions:

- The use of funds by the non-profit organization is not consistent with the purpose for which it was established.
- The transaction is not economically justified considering the account holder's business or profession.

- A series of complicated transfers of funds from one person to another as a means to hide the source and intended use of the funds.
- Transactions which are inconsistent with the account's normal activity.
- Deposits were structured below the reporting requirements to avoid detection.
- Multiple cash deposits and withdrawals with suspicious references.
- Frequent domestic and international ATM activity.
- No business rationale or economic justification for the transaction.
- Unusual cash activity in foreign bank accounts.
- Multiple cash deposits in small amounts in an account followed by a large wire transfer to another country.
- Use of multiple, foreign bank accounts.

*****For instructions and red flags related to Trade based Terrorist Financing and Proliferation Financing also refer to the Guidelines for Prevention of Trade Based Money Laundering to be issued by BFIU soon.**

**ANNEX A:
SITUATIONS INDICATING
POSSIBLE PROLIFERATION FINANCING ACTIVITIES**

1. The 2008 FATF *Typologies Report on Proliferation Financing* provides a starting point to assist both public and private sectors in understanding the threats and situations where customers, transactions and other account activities may be involved in proliferation financing. Since then, proliferators have developed more sophisticated networks to hide such activities. Some of these recent proliferation financing-related sanctions evasion techniques have been captured in the reports submitted by the UN Panel of Experts (PoE) to relevant UNSC or UNSCR committees.

2. In addition to the FATF typologies and UN PoE reports, national authorities and academic institutions have identified a number of situations below, which may indicate possible proliferation financing activities. However, information contained in this Annex is not uniquely determinative of proliferation financing, and proliferation financing activities may share similar traits with money laundering (especially trade-based money laundering) and terrorist financing activities.

A. *Elements that may indicate proliferation financing*

- (a) Indicators of possible proliferation financing as mentioned in Annex 1 to the 2008 FATF *Typologies Report on Proliferation Financing* (with updated order)⁴⁹
 - (i) Transaction involves person or entity in foreign country of proliferation concern.
 - (ii) Transaction involves person or entity in foreign country of diversion concern.
 - (iii) The customer or counter-party or its address is similar to one of the parties found on publicly available lists of “denied persons” or has a history of export control contraventions.
 - (iv) Customer activity does not match business profile, or end-user information does not match end-user’s business profile.
 - (v) A freight forwarding firm is listed as the product’s final destination.
 - (vi) Order for goods is placed by firms or persons from foreign countries other than the country of the stated end-user.
 - (vii) Transaction involves shipment of goods incompatible with the technical level of the country to which it is being shipped, (e.g. semiconductor manufacturing equipment being shipped to a country that has no electronics industry).
 - (viii) Transaction involves possible shell companies (e.g. companies do not have a high level of capitalisation or displays other shell company indicators).

⁴⁹ P.54 of the 2008 FATF *Typologies Report*.

- (ix) Transaction demonstrates links between representatives of companies exchanging goods i.e. same owners or management.
- (x) Circuitous route of shipment (if available) and/or circuitous route of financial transaction.
- (xi) Trade finance transaction involves shipment route (if available) through country with weak export control laws or weak enforcement of export control laws.
- (xii) Transaction involves persons or companies (particularly trading companies) located in countries with weak export control laws or weak enforcement of export control laws.
- (xiii) Transaction involves shipment of goods inconsistent with normal geographic trade patterns (e.g. does the country involved normally export/import good involved?).
- (xiv) Transaction involves financial institutions with known deficiencies in AML/CFT controls and/or domiciled in countries with weak export control laws or weak enforcement of export control laws.
- (xv) Based on the documentation obtained in the transaction, the declared value of the shipment was obviously under-valued vis-à-vis the shipping cost.
- (xvi) Inconsistencies in information contained in trade documents and financial flows, such as names, companies, addresses, final destination etc.
- (xvii) Pattern of wire transfer activity that shows unusual patterns or has no apparent purpose.
- (xviii) Customer vague/incomplete on information it provides, resistant to providing additional information when queried.
- (xix) New customer requests letter of credit transaction awaiting approval of new account.
- (xx) Wire instructions or payment from or due to parties not identified on the original letter of credit or other documentation.

B. Additional potential indicators of sanctions evasion activity mentioned in third-party reports (e.g. UN PoE Reports, academic research)

- (i) Involvement of items controlled under WMD export control regimes or national control regimes.
- (ii) Involvement of a person connected with a country of proliferation concern (e.g. a dual-national), and/or dealing with complex equipment for which he/she lacks technical background.
- (iii) Use of cash or precious metals (e.g. gold) in transactions for industrial items.
- (iv) Involvement of a small trading, brokering or intermediary company, often carrying out business inconsistent with their normal business.

- (v) Involvement of a customer or counter-party, declared to be a commercial business, whose transactions suggest they are acting as a money-remittance business.
- (vi) Transactions between companies on the basis of “ledger” arrangements that obviate the need for international financial transactions.
- (vii) Customers or counterparties to transactions are linked (e.g. they share a common physical address, IP address or telephone number, or their activities may be coordinated).
- (viii) Involvement of a university in a country of proliferation concern.
- (ix) Description of goods on trade or financial documentation is non-specific, innocuous or misleading.
- (x) Evidence that documents or other representations (e.g. relating to shipping, customs, or payment) are fake or fraudulent.
- (xi) Use of personal account to purchase industrial items.

**ANNEX B:
UN SECURITY COUNCIL RESOLUTIONS (UNSCRs)
RELATING TO THE PREVENTION OF WMD PROLIFERATION**

I. List of UNSCRs

Non-state Actors

Resolution	Relevant Provisions
Resolution 1540 (2004)	OP 2 and 3(d)
Successor resolutions	Relevant Provisions
Resolution 1673 (2006)	OP 1
Resolution 1810 (2008)	OP 1
Resolution 1977 (2011)	OP 1
Resolution 2325 (2016)	OP 1, 7 and 12

Democratic People's Republic of Korea (DPRK)

Resolution	Relevant Provisions
Resolution 1718 (2006)	OP 8(a)(i),(ii), 8(b), 8(c) and 8(d), 9(a)-(c), 12(e)
Successor resolutions	Relevant Provisions
Resolution 1874 (2009)	OP 7, 9, 10, 18, 19 and 20
Resolution 2087 (2013)	OP 5, 6 and 12, Annexes I and II
Resolution 2094 (2013)	Preambular 6 and OP 7, 8, 11- 15, 20, 24, Annexes I and II
Resolution 2270 (2016)	OP 6, 10, 12, 15, 16, 19, 20, 23, 29-31, 32-38, Annexes I, II and III
Resolution 2321 (2016)	OP 3, 8, 9, 12, 16, 18, 20, 22, 23, 26, 28, 31-35, Annexes I and II
Resolution 2356 (2017)	OP 3, Annexes I and II
Resolution 2371 (2017)	OP 3, 8-14, 18, Annexes I and II
Resolution 2375 (2017)	OP 3, 8, 13-16, 18, 19, Annexes I and II
Resolution 2397 (2017)	OP 3-8, 11, 13-15, 17 Annexes I and II

Islamic Republic of Iran (Iran)

Resolution	Relevant Provisions
Resolution 2231 (2015)	OP 1, 6, 7, 11, 12, 14, 16, 21, 22; Paragraphs 2, 4, 5 and 6 (a), (b), (c), (d) and (f) of Annex B

II. Financial provisions and their categorisation

Part II (a) General anti-proliferation

	UNSCR	OP	Extract of Provision
Part I General anti-proliferation	Part II (a) General anti-proliferation		
	1540 (2004)	2	<i>Decides</i> also that all States, in accordance with their national procedures, shall adopt and enforce appropriate effective laws which prohibit any non-State actor to manufacture, acquire, possess, develop, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery, in particular for terrorist purposes, as well as attempts to engage in any of the foregoing activities, participate in them as an accomplice, assist or finance them
	1540 (2004)	3(d)	<i>Decides</i> also that all States shall take and enforce effective measures to establish domestic controls to prevent the proliferation of nuclear, chemical, or biological weapons and their means of delivery, including by establishing appropriate controls over related materials and to this end shall: (d) Establish, develop, review and maintain appropriate effective national export and trans-shipment controls over such items, including appropriate laws and regulations to control export, transit, trans-shipment and re-export and controls on providing funds and services related to such export and trans-shipment such as financing, and transporting that would contribute to proliferation , as well as establishing end-user controls; and establishing and enforcing appropriate criminal or civil penalties for violations of such export control laws and regulations
	1673 (2006)	1	<i>Reiterates</i> its decisions in and the requirements of resolution 1540 (2004) and <i>emphasises</i> the importance for all States to implement fully that resolution
	1810 (2008)	1	and <i>emphasises</i> the importance for all States to implement fully that resolution
	1977 (2011)	1	<i>Reiterates</i> its decisions in and the requirements of resolution 1540 (2004), and <i>re-emphasises</i> the importance for all States to implement fully that resolution
	2325 (2016)	1	<i>Reiterates</i> its decisions in and the requirements of resolution 1540 (2004) and <i>re-emphasises</i> the importance for all States to implement fully and effectively that resolution
	2325 (2016)	7	<i>Calls upon</i> States to take into account developments of the evolving nature of risk of proliferation and rapid advances in science and technology in their implementation of resolution of 1540 (2004)
2325 (2016)	12	<i>Decides</i> that the 1540 Committee shall continue to intensify its efforts to promote the full implementation by all States of resolution 1540 (2004), through its Programme of Work, which includes the compilation and general examination of information on the status of States' implementation of resolution 1540 (2004) and addresses all aspects of paragraphs 1, 2 and 3 of that resolution, particularly noting the need for more attention on: enforcement measures ; measures relating to biological, chemical and nuclear weapons; proliferation finance measures ; accounting for and securing related materials; and national export and transshipment controls	

Part II (b) Targeted Financial Sanctions: DPRK

Part II (b) Targeted Financial Sanctions (DPRK) (R.7)	UNSCR	OP	Extract of Provision
	Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	DPRK		
	1718 (2006)	8(d)	<i>Decides</i> that: (d) All Member States shall, in accordance with their respective legal processes, freeze immediately the funds, other financial assets and economic resources which are on their territories at the date of the adoption of this resolution or at any time thereafter, that are owned or controlled, directly or indirectly , by the persons or entities designated by the Committee or by the Security Council as being engaged in or providing support for, including through other illicit means, DPRK's nuclear-related, other weapons of mass destruction-related and ballistic missile related programmes, or by persons or entities acting on their behalf or at their direction , and ensure that any funds, financial assets or economic resources are prevented from being made available by their nationals or by any persons or entities within their territories, to or for the benefit of such persons or entities
1718 (2006)	9(a)- (c) ⁵¹	<i>Decides</i> that the provisions of paragraph 8 (d) above do not apply to financial or other assets or resources that have been determined by relevant States: (a) To be necessary for basic expenses , including payment for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges, or exclusively for payment of reasonable professional fees and reimbursement of incurred expenses associated with the provision of legal services, or fees or service charges, in accordance with national laws, for routine holding or maintenance of frozen funds, other financial assets and economic resources, after notification by the relevant States to the Committee of the intention to authorise, where appropriate, access to such funds, other financial assets and economic resources and in the absence of a negative decision by the Committee within five working days of such notification; (b) To be necessary for extraordinary expenses , provided that such determination has been notified by the relevant States to the Committee and has been approved by the Committee; or (c) To be subject of a judicial, administrative or arbitral lien or judgment , in which case the funds, other financial assets and economic resources may be used to satisfy that lien or judgment provided that the lien or judgment was entered prior to the date of the present resolution, is not for the benefit of a person referred to in paragraph 8(d) above or an individual or entity identified by the Security Council or the Committee, and has been notified by the relevant States to the Committee	
1718 (2006)	12	<i>Decides</i> to establish, in accordance with rule 28 of its provisional rules of procedure, a Committee of the Security Council consisting of all the members of the Council, to undertake the following tasks: ... (e) To designate additional individuals and entities subject to the measures imposed by paragraphs 8(d) and (e) above	

Provides exceptions from assets freeze.

	UNSCR	OP	Extract of Provision
	(con't) Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	DPRK		
Targeted Part I (b)	1874 (2009)	7 ⁵²	<i>Calls upon</i> all Member States to implement their obligations pursuant to resolution 1718 (2006) , including with respect to designations made by the Committee established pursuant to resolution 1718 (2006) (“the Committee”) pursuant to the statement of its President of 13 April 2009 (S/PRST/2009/7)
	2087 (2013)	5(a) ⁵³	<i>Recalls</i> the measures imposed by paragraph 8 of resolution 1718 (2006), as modified by resolution 1874 (2009), and <i>determines</i> that: (a) The measures specified in paragraph 8 (d) of resolution 1718 (2006) shall apply to the individuals and entities listed in Annex I and II ... ; and, (b) The measures imposed in paragraph 8 (a), 8 (b) and 8 (c) of resolution 1718 (2006) shall apply to the items in INFCIRC/254/Rev.11/Part 1 and INFCIRC/254/Rev.8/Part 2 and S/2012/947
	2094 (2013)	Preambular 6	<i>Welcoming</i> the Financial Action Task Force’s (FATF) new Recommendation 7 on targeted financial sanctions related to proliferation, and urging Member States to apply FATF’s [Interpretive] Note to Recommendation 7 and related guidance papers for effective implementation of targeted financial sanctions related to proliferation
	2094 (2013)	8 ⁵⁴	<i>Decides further</i> that measures specified in paragraph 8 (d) of resolution 1718 (2006) shall apply also to the individuals and entities listed in annexes I and II of this resolution and to any individuals or entities acting on their behalf or at their direction , and to entities owned or controlled by them, including through illicit means, and <i>decides further</i> that the measures specified in paragraph 8 (d) of resolution 1718 (2006) shall apply to any individuals or entities acting on the behalf or at the direction of the individuals and entities that have already been designated , to entities owned or controlled by them, including through illicit means
	2270 (2016)	10 ⁵⁵	<i>Decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply also to the individuals and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction , and to entities owned or controlled by them, including through illicit means
	2270 (2016)	12 ⁵⁶	<i>Affirms</i> that “ economic resources ,” as referred to in paragraph 8(d) of resolution 1718 (2006) , includes assets of every kind , whether tangible or intangible, movable or immovable, actual or potential , which potentially may be used to obtain funds, goods, or services, such as vessels (including maritime vessels)

⁵² Extends the TFS of UNSCR 1718 (2006), OP 8(d).

⁵³ Extends the TFS of UNSCR 1718 (2006), OP 8(d).

⁵⁴ Extends the TFS of UNSCR 1718 (2006), OP 8(d).

⁵⁵ Extends the list of person/entity subject to TFS of UNSCR 1718 (2006), OP 8(d).

⁵⁶ Extends the definition of “economic resources” to cover vessels; and adds the new elements of “actual or potential”.

Part I (b) Targeted Financial Sanctions (DPRK (R.7 (con't)))	UNSCR	OP	Extract of Provision
	(con't) Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	DPRK		
	2270 (2016)	15 ⁵⁷	<i>Underscores</i> that, as a consequence of implementing the obligations imposed in paragraph 8(d) of resolution 1718 (2006) and paragraphs 8 and 11 of resolution 2094 (2013), all Member States shall close the representative offices of designated entities and prohibit such entities, as well as individuals or entities acting for or on their behalf, directly or indirectly , from participating in joint ventures or any other business arrangements , and <i>underscores</i> that if a representative of such an office is a DPRK national, then States are required to expel the individual from their territories for the purpose of repatriation to the DPRK consistent with applicable national and international law, pursuant to and consistent with paragraph 10 of resolution 2094 (2013)
	2270 (2016)	16 ⁵⁸	<i>Notes</i> that the DPRK frequently uses front companies, shell companies, joint ventures and complex, opaque ownership structures for the purpose of violating measures imposed in relevant Security Council resolutions, and, in this regard, <i>directs</i> the Committee, with the support of the Panel, to identify individuals and entities engaging in such practices and , if appropriate, designate them to be subject to the measures imposed in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) and this resolution
	2270 (2016)	23 ⁵⁹	<i>Recalls</i> that the Committee has designated the DPRK firm Ocean Maritime Management (OMM) , <i>notes</i> that the vessels specified in Annex III of this resolution are economic resources controlled or operated by OMM and therefore subject to the asset freeze imposed in paragraph 8 (d) of resolution 1718 (2006) , and <i>underscores</i> that Member States are required to implement the relevant provisions of that resolution

Extends the scope of sanctions against designated persons/entities to include closing of representative offices of designated entities and prohibiting joint ventures and business arrangements with designated entities.

Relating to designation.

Extends the scope of assets freeze to include certain vessels.

Part II (b) Targeted Financial Sanctions (DPRK) (R.7) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7) DPRK		
	2270 (2016)	32 ⁶⁰	<i>Decides</i> that the asset freeze imposed by paragraph 8 (d) of resolution 1718 (2006) shall apply to all the funds, other financial assets and economic resources outside of the DPRK that are owned or controlled, directly or indirectly, by entities of the Government of the DPRK or the Worker's Party of Korea, or by individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them, that the State determines are associated with the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, <i>decides further</i> that all States except the DPRK shall ensure that any funds, financial assets or economic resources are prevented from being made available by their nationals or by any individuals or entities within their territories, to or for the benefit of such individuals or entities, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, and <i>decides</i> that these measures shall not apply with respect to funds, other financial assets and economic resources that are required to carry out activities of the DPRK's missions to the United Nations and its specialised agencies and related organisations or other diplomatic and consular missions of the DPRK, and to any funds, other financial assets and economic resources that the Committee determined in advance on a case-by-case basis are required for the delivery of humanitarian assistance, denuclearisation or any other purpose consistent with the objectives of this resolution
2270 (2016)	38	<i>Recalls</i> that the Financial Action Task Force (FATF) has called upon countries to apply enhanced due diligence and effective countermeasure to protect their jurisdictions from the DPRK's illicit financial activity, and <i>calls upon</i> Member States to apply the FATF Recommendation 7, its Interpretive Note, and related guidance to effectively implement targeted financial sanctions related to proliferation	
2321 (2016)	3 ⁶¹	<i>Decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply also to the individuals and entities listed in annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means ...	

⁶⁰ Extends the category of persons and entities subject to assets freeze to cover those relating to the Government of the DPRK or the Worker's Party of Korea; Provides exemptions from assets freeze.

⁶¹ Extends the list of designated persons and entities.

UNSCR	OP	Extract of Provision		
			(con't)Part II (b) Targeted	Financial Sanctions (corresponds to Recommendation 7)
			DPRK	
2321 (2016)	12 ⁶²	<i>Decides</i> that the Committee, if it has information that provides reasonable grounds to believe that vessels are or have been related to nuclear- or ballistic missile-related programmes or activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) or this resolution, may require any or all of the following measures with respect to vessels it designates pursuant to this paragraph: ... (d) a vessel designated by the Committee shall be subject to the asset freeze imposed in paragraph 8 (d) of resolution 1718 (2006)		
2356 (2017)	3 ⁶³	<i>Recalls</i> the measures imposed by paragraph 8 of resolution 1718 (2006) , as modified by subsequent resolutions, and <i>decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply to the individuals and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means ...		
2371 (2017)		<i>Decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply also to the individuals and entities entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means ...		
2371 (2017)	18	<i>Decides</i> that Member States shall report to the Security Council within ninety days of the adoption of this resolution , and thereafter upon request by the Committee, on concrete measures they have taken in order to implement effectively the provisions of this resolution, <i>requests</i> the Panel of Experts, in cooperation with other UN sanctions monitoring groups, to continue its efforts to assist Member States in preparing and submitting such reports in a timely manner		
2375 (2017)	3 ⁶⁵	<i>Decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2016) shall apply also to the individual and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means ...		

- 62 Relating to designation of vessels.
- 63 Extends the list of designated persons and entities.
- 64 Extends the list of designated persons and entities.
- 65 Extends the list of designated persons and entities.

	UNSCR	OP	Extract of Provision
	(con't) Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	DPRK		
Part I Targeted Financial Sanctions (R.7) (cont)	2375 (2017)	8 ⁶⁶	<p><i>Calls upon</i> all States to cooperate with the inspections pursuant to paragraph 7 above, and, if the flag State does not consent to inspection on the high seas, <i>decides</i> that the flag State shall direct the vessel to proceed to an appropriate and convenient port for the required inspection by the local authorities pursuant to paragraph 18 of resolution 2270 (2016), and <i>decides further</i> that, if a flag State neither consents to inspection on the high seas nor directs the vessel to proceed to an appropriate and convenient port for the required inspection, or if the vessel refuses to comply with flag State direction to permit inspection on the high seas or to proceed to such a port, then the Committee shall consider designating the vessel for the measures imposed in paragraph 8(d) of resolution 1718 (2006) and paragraph 12 of resolution 2321 (2016) and the flag State shall immediately deregister that vessel provided that such designation has <small>been made by the Committee</small></p>
	2375 (2017)	19	<p><i>Decides</i> that Member States shall report to the Security Council within ninety days of the adoption of this resolution, and thereafter upon request by the Committee, on concrete measures they have taken</p> <p><i>requests</i> the Panel of Experts, in cooperation with other UN sanctions monitoring groups, to continue its efforts to assist Member States in preparing and submitting such reports in a timely manner</p>
	2397 (2017)	3 ⁶⁷	<p><i>Decides</i> that the measures specified in paragraph 8(d) of resolution 1718 (2006) shall apply also to individuals and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means ...</p>
	2397 (2017)	15	<p><i>Decides</i> that, if a Member State has information regarding the number, name, and registry of vessels encountered in its territory or on the high seas that are designated by the Security Council or by the Committee as subject to the asset freeze imposed by paragraph 8(d) of resolution 1718 (2006), the various measures imposed by paragraph 12 of resolution 2321 (2016), the port entry ban imposed by paragraph 6 of resolution 2371 (2017), or relevant measures in this resolution, then the Member State shall notify the Committee of this information and what measures were taken to carry out an inspection, an asset freeze and impoundment or other appropriate action as authorised by the relevant provisions of resolution 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution</p>

⁶⁶ Relating to designation of vessels.

⁶⁷ Extends the list of designated persons and entities.

Part II (b) TFS (DPRK (R.7)) (con't	UNSCR	OP	Extract of Provision
	(con't) Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	DPRK		
	2397 (2017)	17	<i>Decides that Member States shall report to the Security Council within ninety days of the adoption of this resolution, and thereafter upon request by the Committee, on concrete measures they have taken in order to implement effectively the provisions of this resolution, <i>requests</i> the Panel of Experts, in cooperation with other UN sanctions monitoring groups, to continue its efforts to assist Member States in preparing and submitting such reports in a timely manner</i>

Part II (b) Targeted Financial Sanctions: Iran

Part II (b) Targeted Financial Sanctions (Iran) (R.7)	UNSCR	OP	Extract of Provision
	Part II (b) Targeted Financial Sanctions (corresponds to Recommendation 7)		
	Iran		
	2231 (2015)	7 ⁶⁸	<i>Decides, acting under Article 41 of the Charter of the United Nations, that, upon receipt by the Security Council of the report from the IAEA described in paragraph 5: (a) The provisions of resolutions 1696 (2006), 1737 (2006), 1747 (2007), 1803 (2008), 1835 (2008), 1929 (2010) and 2224 (2015) shall be terminated</i>
2231 (2015)	Annex B Para.6(c)	For eight years after the JCPOA Adoption Day or until the date on which the IAEA submits a report confirming the Broader Conclusion, whichever is earlier, continue to freeze the funds, other financial assets and economic resources which are on their territories at the date of adoption of the JCPOA, and freeze the funds, other financial assets and economic resources which are on their territories at any time thereafter, that are owned or controlled by the individuals and entities that were specified on the list established and maintained by the Committee pursuant to resolution 1737 (2006) as of the date of adoption of the new resolution, with the exception of those individuals and entities specified in Attachment hereto, or that may be de-listed by the Security Council, and freeze those of additional individuals and entities that may be designated by the Security Council as: having engaged in, directly associated with or provided support for Iran’s proliferation-sensitive nuclear activities undertaken contrary to Iran’s commitments in the JCPOA or the development of nuclear weapon delivery systems, including through the involvement in procurement of prohibited items, goods, equipment, materials and technology specified in this statement; having assisted designated individuals or entities in evading or acting inconsistently with the JCPOA or the new resolution; having acted on behalf or at the direction of designated individuals or entities; or having been owned or controlled by designated individuals or entities, including through illicit means	
2231 (2015)	Annex B Para.6(d)	... In addition, this provision shall not prevent a designated individual or entity from making payment due under a contract entered into prior to the listing of such individual or entity , provided that the relevant States have determined that the contract is not related to any of the prohibited items, materials, equipment, goods, technologies, assistance, training, financial assistance, investment, brokering or services referred to in this statement; the payment is not directly or indirectly received by an individual or entity subject to the measures in this paragraph; and after notification by the relevant States to the Security Council of the intention to make or receive such payments or to authorise, where appropriate, the unfreezing of funds, other financial assets or economic resources for this purpose, ten working days prior to such authorisation	

68

Terminates the Iran-related TFS/PF provisions that were based on UNSCR 1737 (2006) and its successor resolutions.

Part II (c) Other measures: Activity-based Financial Prohibitions
(relating to DPRK financial transactions or provision of financial services)

	UNSCR	OP	Extract of Provision						
	Part II (c) Other Measures: Activity-based Financial Prohibitions								
	(relating to DPRK financial transactions or provision of financial services)								
a r t I I (c)	Oth er	Meas ures	Activ ity- based	Fina ncial	Prohi bitions	(DPR K)	1874 (2009)	9 ⁶⁹	<i>Decides</i> that the measures in paragraph 8(b) of resolution 1718 (2006) shall also apply to all arms and related materiel, as well as to financial transactions , technical training, advice, services or assistance related to the provision , manufacture, maintenance or use of such arms or materiel
							1874 (2009)	10 ⁷⁰	<i>Decides</i> that the measures in paragraph 8(a) of resolution 1718 (2006) shall also apply to all arms and related materiel, as well as to financial transactions , technical training, advice, services or assistance related to the provision , manufacture, maintenance or use of such arms, except for small arms and light weapons and their related materiel, and <i>calls upon</i> States to exercise vigilance over the direct or indirect supply, sale or transfer to the DPRK of small arms or light weapons, and further <i>decides</i> that States shall notify the Committee at least five days prior to selling, supplying or transferring small arms or light weapons to the DPRK
							1874 (2009)	18	<i>Calls upon</i> Member States, in addition to implementing their obligations pursuant to paragraphs 8(d) ... of resolution 1718 (2006), to prevent the provision of financial services or the transfer to , through, or from their territory, or to or by their nationals or entities organised under their laws (including branches abroad), or persons or financial institutions in their territory, of any financial or other assets or resources that could contribute to the DPRK's nuclear-related, ballistic missile-related, or other weapons of mass destruction-related programmes or activities , including by freezing any financial or other assets or resources on their territories or that hereafter come within their territories , or that are subject to their jurisdiction or that hereafter become subject to their jurisdiction, that are associated with such programmes or activities and applying enhanced monitoring to prevent all such transactions in accordance with their national authorities and legislation
							1874 (2009)	19	<i>Calls upon</i> all Member States and international financial and credit institutions not to enter into new commitments for grants, financial assistance, or concessional loans to the DPRK except for humanitarian and developmental purposes directly addressing the needs of the civilian population, or the promotion of denuclearisation, and also <i>calls upon</i> States to exercise enhanced vigilance with a view to reducing current commitments
							2087 (2013)	5(b) ⁷¹	<i>Recalls</i> the measures imposed by paragraph 8 of resolution 1718 (2006), as modified by resolution 1874 (2009), and <i>determines</i> that: ... (b) The measures imposed in paragraph 8 (a), 8 (b) and 8 (c) of resolution 1718 (2006) shall apply to the items in INFCIRC/254/Rev.11/Part 1 and INFCIRC/254/Rev.8/Part 2 and S/2012/947

⁶⁹ Extends the prohibitions of UNSCR 1718 (2006), OP 8(b) to financial transactions, services or assistance.

⁷⁰ Extends the prohibitions of UNSCR 1718 (2006), OP 8(a) to financial transactions, services or assistance.

⁷¹ Extends the items to which the measures of UNSCR 1718 (2006), OP 8(a) to (c) apply.

Part II (c) Other Measures: Activity-based Financial Prohibitions (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II	(c) Other	Measures: Activity-based Financial Prohibitions
	<i>(relating to DPRK financial transactions or provision of financial services)</i>		
2094 (2013)	7 ⁷²	Reaffirms that the measures imposed in paragraph 8 (c) of resolution 1718 (2006) apply to items prohibited by paragraph 8 (a)(i), 8 (a)(ii) of resolution 1718 (2006) and paragraphs 9 and 10 of resolution 1874 (2009), <i>decides</i> that the measures imposed in paragraph 8 (c) of resolution 1718 (2006) also apply to paragraphs 20 and 22 of this resolution, and notes that these measures apply also to brokering or other intermediary services , including when arranging for the provision, maintenance or use of prohibited items in other States or the supply, sale or transfer to or exports from other States	
2094 (2013)	11	<i>Decides</i> that Member States shall, in addition to implementing their obligations pursuant to paragraphs 8 (d) and (e) of resolution 1718 (2006), prevent the provision of financial services or the transfer to , through, or from their territory, or to or by their nationals or entities organised under their laws (including branches abroad), or persons or financial institutions in their territory, of any financial or other assets or resources , including bulk cash , that could contribute to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution, including by freezing any financial or other assets or resources on their territories or that hereafter come within their territories, or that are subject to their jurisdiction or that hereafter become subject to their jurisdiction, that are associated with such programmes or activities and applying enhanced monitoring to prevent all such transactions in accordance with their national authorities and legislation	
2094 (2013)	20 ⁷³	<i>Decides</i> that the measures imposed in paragraphs 8(a) and (b) of resolution 1718 (2006) shall also apply to the items, materials, equipment, goods and technology listed in annex III of this resolution.	

⁷² Extends the items to which the measures of UNSCR 1718 (2006), OP 8(c) apply.

⁷³ Extends the items to which the measures of UNSCR 1718 (2006), OP 8(a) and 8(b) apply.

Part II (c) Other Measures: Activity-based Financial Prohibitions (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (c) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to DPRK financial transactions or provision of financial services)</i>		
2270 (2016)	6	<i>Decides</i> that the measures in paragraph 8 (a) of resolution 1718 (2006) shall also apply to all arms and related materiel, including small arms and light weapons and their related materiel, as well as to financial transactions, technical training, advice, services or assistance related to the provision, manufacture, maintenance or use of such arms and related materiel	
2371 (2017)	13	<i>Clarifies</i> that the prohibitions contained in paragraph 11 of resolution 2094 (2013) apply to clearing of funds through all Member States' territories	
2371 (2017)	14	<i>Clarifies</i> that companies performing financial services commensurate with those provided by banks are considered financial institutions for the purposes of implementing paragraph 11 of resolution 2094 (2013), paragraphs 33 and 34 of resolution 2270 (2016), and paragraph 33 of resolution 2321 (2016)	

Part II (c) Other measures: Activity-based Financial Prohibitions

(relating to Iran financial transactions or provision of financial services)

	UNSCR	OP	Extract of Provision
Part II (c) Other Measures: Activity-based Financial Prohibitions (Iran)	Part II (c) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to Iran financial transactions or provision of financial services)</i>		
	2231 (2015)	Annex B Para 2	<p>All States may participate in and permit the following activities provided that approval is provided in advance, on a case-by-case basis, by the Security Council:</p> <p>(a) the supply, sale or transfer directly or indirectly from their territories, or by their nationals or using their flag vessels or aircraft to, or for the use in or benefit of, Iran, and whether or not originating in their territories, of all items, materials, equipment, goods and technology set out in INFCIRC/254/Rev.12/Part 1 and INFCIRC/254/Rev.9/Part 2 (or the most recent versions of these documents, as updated by the Security Council), as well as any further items if the State determines that they could contribute to reprocessing or enrichment-related or heavy water-related activities inconsistent with the JCPOA;</p> <p>(b) the provision to Iran of any technical assistance or training, financial assistance, investment, brokering or other services, and the transfer of financial resources or services, related to the supply, sale, transfer, manufacture or use of the items, materials, equipment, goods and technology described in subparagraph (a) above; and</p> <p>(c) acquisition by Iran of an interest in a commercial activity in another State involving uranium mining or production or use of nuclear materials and technology as listed in INFCIRC/254/Rev.12/Part 1, and such investment in territories under their jurisdiction by Iran, its nationals, and entities incorporated in Iran or subject to its jurisdiction, or by individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them, <i>except that</i> approval in advance by the Security Council shall not be required for the supply, sale, or transfer to Iran of equipment covered by B.1 of INFCIRC/254/Rev.12/Part 1 when such equipment is for light water reactors, low-enriched uranium covered by A.1.2 of INFCIRC/254/Rev.12/Part 1 when it is incorporated in assembled nuclear fuel elements for such reactors, as well as items, materials, equipment, goods and technology set out in INFCIRC/254/Rev. 9/Part 2 only when for exclusive use in light water reactors</p>

	UNSCR	OP	Extract of Provision
Part II (c) Other Measures: Activity-based Financial Prohibitions (Iran) (con't)	(Con't) Part II (c) Other Measures: Activity-based Financial Prohibitions (relating to Iran financial transactions or provision of financial services)		
	2231 (2015)	Annex B Para 2 (con't)	<p>For any items, materials, equipment, goods and technology that are approved by the Security Council pursuant to subparagraph (a) above, or are supplied, sold, or transferred subject to the exception stated above, States are to ensure that: (a) the requirements, as appropriate, of the Guidelines as set out in the referenced INFCIRC have been met; (b) they have obtained and are in a position to exercise effectively a right to verify the end-use and end-use location of any supplied item; (c) they notify the Security Council within ten days of the supply, sale or transfer; and d) in the case of supplied items, materials, equipment, goods and technology listed in the referenced INFCIRCs, they also notify the IAEA within ten days of the supply, sale or transfer.</p> <p>And <i>except</i> also that approval in advance by the Security Council is not required for the supply, sale, or transfer of items, materials, equipment, goods and technology, and the provision of any related technical assistance, training, financial assistance, investment, brokering or other services, that is directly related to the necessary modification of two cascades at the Fordow facility for stable isotope production, the export of Iran's enriched uranium in excess of 300 kilograms in return for natural uranium, and the modernisation of the Arak reactor based on the agreed conceptual design and, subsequently, on the agreed final design of such reactor, provided that Member States ensure that: (a) all such activities are undertaken strictly in accordance with the JCPOA; (b) they notify the Security Council and Joint Commission ten days in advance of such activities; (c) the requirements, as appropriate, of the Guidelines as set out in the referenced INFCIRC have been met; (d) they have obtained and are in a position to exercise effectively a right to verify the end-use and end-use location of any supplied item; and (e) in case of supplied items, materials, equipment, goods and technology listed in the referenced INFCIRCs, they also notify the IAEA within ten days of the supply, sale or transfers.</p> <p>This paragraph shall apply until the date ten years after JCPOA Adoption Day, as defined in the JCPOA, except if the IAEA submits a report confirming the Broader Conclusion before that date, then the requirement to obtain approval in advance by the Security Council shall be suspended immediately and, beginning on the date of this suspension, the exceptions provided for in this paragraph shall continue to apply and all States may participate in and permit the activities set forth in this paragraph if they notify the Security Council and the Joint Commission at least ten working days in advance of each such activity on a case-by-case basis.</p>

	UNSCR	OP	Extract of Provision
Part II (c) Other Measures: Activity-based Financial Prohibitions (Iran) (con't)	(Con't) Part II (c) Other Measures: Activity-based Financial Prohibitions		
	(relating to Iran financial transactions or provision of financial services)		
2231 (2015)	Annex B Para 4	<p>All States may participate in and permit the activities described below <i>provided that the Security Council decides in advance on a case-by-case basis to permit such activity:</i> ...</p> <p>(a) the supply, sale or transfer directly or indirectly from their territories, or by their nationals or using their flag vessels or aircraft to or from Iran, or for the use in or benefit of Iran, and whether or not originating in their territories, of all items, materials, equipment, goods and technology set out in S/2015/546 and of any items, materials, equipment, goods and technology that the State determines could contribute to the development of nuclear weapon delivery systems; and</p> <p>(b) the provision to Iran of any technology or technical assistance or training, financial assistance, investment, brokering or other services, and the transfer of financial resources or services, or Iran's acquisition of an interest in any commercial activity in another State, related to the supply, sale, transfer, manufacture or use of the items, materials, equipment, goods and technology described in subparagraph a of this paragraph or related to the activities described in paragraph 3.</p> <p><i>provided that in the event of an approval by the Security Council:</i> (a) the contract for delivery of such items or assistance include appropriate end-user guarantees; and (b) Iran commit not to use such items for development of nuclear weapon delivery systems.</p> <p>This paragraph shall apply until the date eight years after the JCPOA Adoption Day or until the date on which the IAEA submits a report confirming the Broader Conclusion, whichever is earlier.</p>	
2231 (2015)	Annex B Para 5	<p>All States may participate in and permit, provided that the Security Council decides in advance on a case-by-case basis to approve: the supply, sale or transfer directly or indirectly from or through their territories, or by their nationals or individuals subject to their jurisdiction, or using their flag vessels or aircraft, and whether or not originating in their territories, to Iran, or for the use in or benefit of Iran, of any battle tanks, armoured combat vehicles, large calibre artillery systems, combat aircraft, attack helicopters, warships, missiles or missile systems, as defined for the purpose of the United Nations Register of Conventional Arms, or related materiel, including spare parts, and the provision to Iran by their nationals or from or through their territories of technical training, financial resources or services, advice, other services or assistance related to the supply, sale, transfer, manufacture, maintenance, or use of arms and related materiel described in this subparagraph.</p> <p>This paragraph shall apply until the date five years after the JCPOA Adoption Day or until the date on which the IAEA submits a report confirming the Broader Conclusion, whichever is earlier.</p>	

Part II (d) Other Measures: Activity-based Financial Prohibitions (relating to opening of branches, subsidiaries, joint ventures, opening of accounts, etc.) (DPRK only)

UNSCR	OP	Extract of Provision
Part II (d) Other Measures: Activity-based Financial Prohibitions		
<i>(relating to opening of branches, subsidiaries, joint ventures, opening of accounts, etc.)</i>		
DPRK		
2094 (2013)	12	<i>Calls upon</i> States to take appropriate measures to prohibit in their territories the opening of new branches, subsidiaries, or representative offices of DPRK banks , and also <i>calls upon</i> States to prohibit DPRK banks from establishing new joint ventures and from taking an ownership interest in or establishing or maintaining correspondent relationships with banks in their jurisdiction to prevent the provision of financial services if they have information that provides reasonable grounds to believe that these activities could contribute to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), and this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution.
2094 (2013)	13	<i>Calls upon</i> States to take appropriate measures to prohibit financial institutions within their territories or under their jurisdiction from opening representative offices or subsidiaries or banking accounts in the DPRK if they have information that provides reasonable grounds to believe that such financial services could contribute to the DPRK's nuclear or ballistic missile programmes, and other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), and this resolution.
2270 (2016)	33	<i>Decides</i> that States shall prohibit in their territories the opening and operation of new branches, subsidiaries, and representative offices of DPRK banks , <i>decides</i> further that States shall prohibit financial establishing new joint ventures and from taking an ownership interest in or establishing or maintaining correspondent relationship with DPRK banks , unless such transactions have been approved by the Committee in advance, and <i>decides</i> that States shall take the necessary measures to close such existing branches, subsidiaries and representative offices, and also to terminate such joint ventures, ownership interests and correspondent banking relationships with DPRK banks within ninety days from the adoption of this resolution
2270 (2016)	34	<i>Decides</i> that States shall prohibit financial institutions within their territories or subject to their jurisdiction from opening new representative offices or subsidiaries, branches or banking accounts in the DPRK

Part II (d) Other Measures: Activity-based Financial Prohibitions (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (d) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to opening of branches, subsidiaries, joint ventures, opening of accounts, etc.)</i>		
	DPRK		
2270 (2016)	35	<i>Decides</i> that States shall take the necessary measures to close existing representative offices, subsidiaries or banking accounts in the DPRK within ninety days, if the State concerned has credible information that provides reasonable grounds to believe that such financial services could contribute to the DPRK's nuclear or ballistic missile programmes , or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, and <i>decides</i> further that this provision shall not apply if the Committee determines on a case-by-case basis that such offices, subsidiaries or accounts are required for the delivery of humanitarian assistance or the activities of diplomatic missions in the DPRK pursuant to the Vienna Convention on Diplomatic Relations or the activities of the United Nations or its specialised agencies or related organisations, or for any other purposes consistent with resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution	
2321 (2016)	16	<i>Decides</i> that all States shall take steps to limit the number of bank accounts to one per DPRK diplomatic mission and consular post, and one per accredited DPRK diplomat and consular officer , at banks in their territory	
2321 (2016)	31	<i>Decides</i> that Member States shall take the necessary measures to close existing representative offices, subsidiaries or banking accounts in the DPRK within 90 days, unless the Committee determines on a case-by-case basis that such offices, subsidiaries or accounts are required for the delivery of humanitarian assistance or the activities of diplomatic missions in the DPRK or the activities of the United Nations or its specialised agencies or related organisations or any other purpose consistent with the objectives of this resolution	
2371 (2017)	12	<i>Decides</i> that States shall prohibit, by their nationals or in their territories, the opening of new joint ventures or cooperative entities with DPRK entities or individuals, or the expansion of existing joint ventures through additional investments , whether or not acting for or on behalf of the government of the DPRK, unless such joint ventures or cooperative entities have been approved by the Committee in advance on a case-by-case basis	
2375 (2017)	18	<i>Decides</i> that States shall prohibit, by their nationals or in their territories, the opening, maintenance, and operation of all joint ventures or cooperative entities, new and existing, with DPRK entities or individuals, whether or not acting for or on behalf of the government of the DPRK , unless such joint ventures or cooperative entities, in particular those that are non-commercial, public utility infrastructure projects not generating profit, have been approved by the Committee in advance on a case-by-case basis, <i>further decides</i> that States shall close any such existing joint venture or cooperative entity within 120 days of the adoption of this resolution if such joint venture or cooperative entity has not been approved by the Committee on a case-by-case basis, and States shall close any such existing joint venture or cooperative entity within 120 days after the Committee has denied a request for approval, and <i>decides</i> that this provision shall not apply with respect to existing China-DPRK hydroelectric power infrastructure projects and the Russia-DPRK-Rajin-Khasan port and rail project solely to export Russia-origin coal as permitted by paragraph 8 of resolution 2371 (2017)	

Part II (e) Other Measures: Activity-based Financial Prohibitions

*(relating to the use and transfer of cash; relevant to banks and MVTIS institutions)
(DPRK only)*

UNSCR	OP	Extract of Provision
Part II (e) Other Measures: Activity-based Financial Prohibitions		
<i>(relating to the use and transfer of cash; relevant to banks and MVTIS institutions)</i>		
DPRK		
2087 (2013)	12	<i>Deplores</i> the violations of the measures imposed in resolution 1718 (2006) and 1874 (2009), including the use of bulk cash to evade sanctions , <i>underscores</i> its concern over the supply, sale or transfer to or from the DPRK or through States' territories of any item that could contribute to activities prohibited by resolutions 1718 (2006) or 1874 (2009) and the importance of appropriate action by States in this regard, <i>calls on</i> States to exercise vigilance and restraint regarding the entry into or transit through their territories of individuals working on behalf or at the direction of a designated individual or entity, directs the Committee to review reported violations and take action as appropriate, including through designating entities and individuals that have assisted the evasion of sanctions or in violating the provisions of resolutions 1718 (2006) and 1874 (2009)
2094 (2013)	11	<i>Decides</i> that Member States shall, in addition to implementing their obligations pursuant to paragraphs 8 (d) and (e) of resolution 1718 (2006), prevent the provision of financial services or the transfer to , through, or from their territory, or to or by their nationals or entities organised under their laws (including branches abroad), or persons or financial institutions in their territory, of any financial or other assets or resources , including bulk cash , that could contribute to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution , including by freezing any financial or other assets or resources on their territories or that hereafter come within their territories, or that are subject to their jurisdiction or that hereafter become subject to their jurisdiction, that are associated with such programmes or activities and applying enhanced monitoring to prevent all such transactions in accordance with their national authorities and legislation
2094 (2013)	14	<i>Expresses concern</i> that transfers to the DPRK of bulk cash may be used to evade the measures imposed in resolutions 1718 (2006), 1874 (2009), 2087 (2013), and this resolution , and <i>clarifies</i> that all States shall apply the measures set forth in paragraph 11 of this resolution to the transfers of cash, including through cash couriers, transiting to and from the DPRK so as to ensure such transfers of bulk cash do not contribute to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution including through gold couriers , transiting to and from the DPRK so as to ensure such transfers of gold do not contribute to the DPRK's nuclear or ballistic missile programs, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution
2270 (2016)	37	<i>Expresses concern</i> that transfers to the DPRK of gold may be used to evade the measures imposed in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) and this resolution , and <i>clarifies</i> that all States shall apply the measures set forth in paragraph 11 of resolution 2094 (2013) to the transfers of gold

Part II (e) Other Measures: Activity-based Financial Prohibitions (DPRK)

Part II (e) Other Measures: Activity-based Financial Prohibitions (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (e) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to the use and transfer of cash; relevant to banks and MVTS</i>		
	<i>institutions) DPRK</i>		
2321 (2016)	34	<i>Expresses concern that DPRK nationals are sent to work in other States for the purpose of earning hard currency that the DPRK uses for its nuclear and ballistic missile programmes, and <i>calls upon</i> States to exercise vigilance over this practice</i>	
2321 (2016)	35	<i>Reiterates its concern that bulk cash may be used to evade measures imposed by the Security Council, and <i>calls upon</i> Member States to be alert to this risk</i>	

Part II (f) Other Measures: Activity-based Financial Prohibitions
(relating to granting of export credits or providing insurance services) (DPRK only)

UNSCR	OP	Extract of Provision
Part II (f) Other Measures: Activity-based Financial Prohibitions		
<i>(relating to granting of export credits or providing insurance services)</i>		
DPRK		
1874 (2009)	20	<i>Calls upon</i> all Member States not to provide public financial support for trade with the DPRK (including the granting of export credits, guarantees or insurance to their national or entities involved in such trade) where such financial support could contribute to the DPRK's nuclear-related or ballistic missile-related or other WMD-related programs or activities
2094 (2013)	15	<i>Decides</i> that all Member States shall not provide public financial support for trade with the DPRK (including the granting of export credits, guarantees or insurance to their nationals or entities involved in such trade) where such financial support could contribute to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution
2270 (2016)	20	<i>Decides</i> that all States shall prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from registering vessels in the DPRK, obtaining authorisation for a vessel to use the DPRK flag, and from owning, leasing, operating, providing any vessel classification, certification or associated service, or insuring any vessel flagged by the DPRK...
2270 (2016)	36	<i>Decides</i> that all States shall prohibit public and private financial support from within their territories or by persons or entities subject to their jurisdiction for trade with the DPRK (including the granting of export credits, guarantees or insurance to their nationals or entities involved in such trade) where such financial support could contribute to the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, including paragraph 8
2321 (2016)	9	<i>Decides</i> that paragraph 20 of resolution 2270 (2016) shall apply to registering vessels in the DPRK, obtaining authorisation for a vessel to use the DPRK flag, and owning, leasing, operating, providing any vessel classification, certification or associated service, or insuring any vessel flagged by the DPRK, without exception , unless the Committee approves on a case-by-case basis in advance
2321 (2016)	22	<i>Decides</i> that all Member States shall prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from providing insurance or re-insurance services to vessels owned, controlled, or operated , including through illicit means, by the DPRK unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue or exclusively for humanitarian purposes

Part II (f) Other Measures: Activity-based Financial Prohibitions (DPRK)

Part II (f) Other Measures: Activity-based Financial Prohibitions (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (f) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to granting of export credits or providing insurance services)</i>		
	DPRK		
2321 (2016)	32	<i>Decides</i> that all Member States shall prohibit public and private financial support from within their territories or by persons or entities subject to their jurisdiction for trade with the DPRK (including the granting of export credits, guarantees or insurance to their nationals or entities involved in such trade) , except as approved in advance by the Committee on a case-by-case basis	
2321 (2016)	33	<i>Decides</i> that, if a Member State determines that an individual is working on behalf of or at the direction of a DPRK bank or financial institution , then Member States shall expel the individual from their territories for the purpose of repatriation to the individual's state of nationality, consistent with applicable national and international law, unless the presence of the individual is required for fulfilment of a judicial process or exclusively for medical, safety or other humanitarian purposes, or the Committee has determined on a case-by-case basis that the expulsion of the individual would be contrary to the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), or this resolution	
2371 (2017)	13	<i>Clarifies</i> that the prohibitions contained in paragraph 11 of resolution 2094 (2013) apply to clearing of funds through all Member States' territories	
2371 (2017)	14	<i>Clarifies</i> that companies performing financial services commensurate with those provided by banks are considered financial institutions for the purposes of implementing paragraph 11 of resolution 2094 (2013), paragraphs 33 and 34 of resolution 2270 (2016), and paragraph 33 of resolution 2321 (2016)	
2397 (2017)	11	<i>Reaffirms</i> paragraph 22 of resolution 2321 (2016) and <i>decides</i> that each Member State shall prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from providing insurance or re-insurance services to vessels it has reasonable grounds to believe were involved in activities, or the transport of items, prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue or exclusively for humanitarian purposes	

**Part II (g) Other Measures: Activity-based Financial Prohibitions
(relating to earnings of DPRK workers abroad) (DPRK only)**

Part II (g) Other Measures: Activity-based Financial Prohibitions (DPRK)	UNSCR	OP	Extract of Provision
	Part II (g) Other Measures: Activity-based Financial Prohibitions (relating to earnings of DPRK workers abroad)		
	DPRK		
2371 (2017)	11	<p><i>Expresses concern that DPRK nationals frequently work in other States for the purpose of generating foreign export earnings that the DPRK uses to support its prohibited nuclear and ballistic missile programs, <i>decides</i> that all Member States shall not exceed on any date after the date of adoption of this resolution the total number of work authorisations for DPRK nationals provided in their jurisdictions at the time of the adoption of this resolution</i></p> <p>unless the Committee approves on a case-by-case basis in advance that employment of additional DPRK nationals beyond the number of work authorisations provided in a member state’s jurisdiction at the time of the adoption of this resolution is required for the delivery of humanitarian assistance, denuclearisation or any other purpose consistent with the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution</p>	
2397 (2017)	874	<p><i>Expresses concern that DPRK nationals continue to work in other States for the purpose of generating foreign export earnings that the DPRK uses to support its prohibited nuclear and ballistic missile programmes despite the adoption of paragraph 17 of resolution 2375 (2017), <i>decides</i> that Member States shall repatriate to the DPRK all DPRK nationals earning income in that Member State’s jurisdiction and all DPRK government safety oversight attachés monitoring DPRK workers abroad immediately but no later than 24 months from the date of adoption of this resolution unless the Member State determines that a DPRK national is a national of that Member State or a DPRK national whose repatriation is prohibited, subject to applicable national and international law, including international refugee law and international human rights law, and the United Nations Headquarters Agreement and the Convention on the Privileges and Immunities of the United Nations...</i></p>	

74

UNSCR 2375 (2017) refers to the obligation that Member States shall not provide work authorisation for DPRK nationals in their jurisdictions in connection with admission to their territories unless a case-by-case basis approval from the Security Council 1718 Committee for humanitarian purposes has been obtained.

Part II (h) Other measures: Activity-based Financial Prohibitions
(relating to the real estate sector) (DPRK only)

(DPRK Part II (h) Other Measures)	UNSCR	OP	Extract of Provision
	Part II (h) Other Measures: Activity-based Financial Prohibitions		
	(relating to the real estate sector)		
	DPRK		<i>Decides that all Member States shall prohibit the DPRK from using real</i>
2321 (2016)	18	property that it owns or leases in their territory for any purpose other than diplomatic or consular activities	

Part II (i): Other Measures: Activity-based Financial Prohibitions
(relating to leasing or chartering of vessels and related services) (DPRK only)

Part II (i) Other Measures: Activity-based Financial Prohibitions (DPRK)	UNSCR	OP	Extract of Provision
	Part II (i) Other Measures: Activity-based Financial Prohibitions		
	<i>(relating to leasing or chartering of vessels and related services)</i>		
	DPRK		
	2270 (2016)	19	<i>Decides</i> that Member States shall prohibit their nationals and those in their territories from leasing or chartering their flagged vessels or aircraft or providing crew services to the DPRK , and <i>decides</i> that this prohibition shall also apply with respect to any designated individuals or entities, any other DPRK entities, any other individuals or entities whom the State determines to have assisted in the evasion of sanctions or in violating the provisions of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, any individuals or entities acting on behalf or at the direction of any of the aforementioned, and any entities owned or controlled by any of the aforementioned , <i>calls upon</i> Member States to de-register any vessel that is owned, operated or crewed by the DPRK, <i>further calls upon</i> Member States not to register any such vessel that is de-registered by another Member State pursuant to this paragraph, and <i>decides</i> that this provision shall not apply with respect to such leasing, chartering or provision of crew services notified to the Committee in advance on a case-by-case basis accompanied by: a) information demonstrating that such activities are exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue, and b) information on measures taken to prevent such activities from contributing to violations of the aforementioned resolutions
2321 (2016)	8	<i>Decides</i> that paragraph 19 of resolution 2270 (2016) shall apply with respect to all leasing, chartering or provision of crew services to the DPRK without exception , unless the Committee approves on a case-by-case basis in advance	
2321 (2016)	23	<i>Decides</i> that all Member States shall prohibit their nationals from procuring vessel and aircraft crewing services from the DPRK	
2397 (2017)	14	<i>Recalls</i> paragraph 30 of resolution 2321 (2016) and <i>decides</i> that all Member States shall prevent the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of any new or used vessels, except as approved in advance by the Committee on a case-by-case basis	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK only)

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK)	UNSCR	OP	Extract of Provision
	Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
	1718 (2006)	8(a)	<p><i>Decides that:</i> (a) All Member States shall prevent the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of:</p> <p>(i) Any battle tanks, armoured combat vehicles, large calibre artillery systems, combat aircraft, attack helicopters, warships, missiles or missile systems as defined for the purpose of the United Nations Register on Conventional Arms, or related materiel including spare parts, or items as determined by the Security Council or the Committee established by paragraph 12 below (the Committee):</p> <p>(ii) All items, materials, equipment, goods and technology as set out in the lists in documents S/2006/814 and S/2006/815, unless within 14 days of adoption of this resolution the Committee has amended or completed their provisions also taking into account the list in document S/2006/816, as well as other items, materials, equipment, goods and technology, determined by the Security Council or the Committee, which could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes;</p>
1718 (2006)	8(b)	<p><i>Decides that ...</i> (b) The DPRK shall cease the export of all items covered in subparagraphs (a)(i) and (a)(ii) above and that all Member States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flagged vessels or aircraft, and whether or not originating in the territory of the DPRK;</p>	
1718 (2006)	8(c)	<p><i>Decides that ...</i> (c) All Member States shall prevent any transfers to the DPRK by their nationals or from their territories, or from the DPRK by its national or from its territory, of technical training, advice, services or assistance related to the provision, manufacture, maintenance or use of the items in subparagraphs a(i) and a(ii) above</p>	
2270 (2016)	29 ⁷⁵	<p><i>Decides that</i> the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, coal, iron, and iron ore, and that all States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK, and decides that this provision shall not apply with respect to:</p> <p>(a) Coal that the procuring State confirms on the basis of credible information has originated outside the DPRK and was transported through the DPRK solely for export from the Port of Rajin (Rason), provided that the State notifies the Committee in advance and such transactions are unrelated to generating revenue for the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution; and,</p> <p>(b) Transactions that are determined to be exclusively for livelihood purposes and unrelated to generating revenue for the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution;</p>	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
2270 (2016)	30		<i>Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, gold, titanium ore, vanadium ore, and rare earth minerals , and that all States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK
2321 (2016)	26 ⁷⁶		<p>Decides that paragraph 29 of resolution 2270 (2016) shall be replaced by the following:</p> <p>“Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, coal, iron, and iron ore, and that all States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK, and decides that this provision shall not apply with respect to:</p> <p>(a) Coal that the procuring State confirms on the basis of credible information has originated outside the DPRK and was transported through the DPRK solely for export from the Port of Rajin (Rason), provided that the State notifies the Committee in advance and such transactions are unrelated to generating revenue for the DPRK’s nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution;</p> <p>(b) Total exports to all Member States of coal originating in the DPRK that in the aggregate do not exceed 53 495 894 US dollars or 1 000 866 metric tons, whichever is lower, between the date of adoption of this resolution and 31 December 2016, and total exports to all Member States of coal originating in the DPRK that in the aggregate do not exceed 400 870 018 US dollars or 7 500 000 metric tons per year, whichever is lower, beginning 1 January 2017, provided that the procurements (i) involve no individuals or entities that are associated with the DPRK’s nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) or this resolution, including designated individuals or entities, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, directly or indirectly, or individuals or entities assisting in the evasion of sanctions, and (ii) are exclusively for livelihood purposes of DPRK nationals and unrelated to generating revenue for the DPRK’s nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) or this resolution, and decides that each Member State that procures coal from the DPRK shall notify the Committee of the aggregate amount of the volume of such procurement for each month no later</p>

76

Refer to entry of OP 8 of UNSCR 2371 (2017) for updated requirements.

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
2321 (2016) (con't)	26 (con't)	<p>than 30 days after the conclusion of that month on the form in annex V to this resolution, directs the Committee to make publicly available on its website the volume of procurement of coal from the DPRK reported by Member States and value calculated by the Committee Secretary, as well as the amount reported for each month and with the number of States that reported for each month, directs the Committee to update this information on a real-time basis as it receives notifications, calls upon all States that import coal from the DPRK to periodically review this website to ensure that they do not exceed the mandatory aggregate annual limit, directs the Committee Secretary to notify all Member States when an aggregate value or volume of coal procurements from the DPRK of 75 per cent of the aggregate yearly amount has been reached, also directs the Committee Secretary to notify all Member States when an aggregate value or volume of coal procurements from the DPRK of 90 per cent of the aggregate yearly amount has been reached, further directs the Committee Secretary to notify all Member States when an aggregate value or volume of coal procurements from the DPRK of 95 per cent of the aggregate yearly amount has been reached and to inform them that they must immediately cease procuring coal from the DPRK for the year, and requests the Secretary-General to make the necessary arrangements to this effect and provide additional resources in this regard; and</p> <p>(c) Transactions in iron and iron ore that are determined to be exclusively for livelihood purposes and unrelated to generating revenue for the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) or this resolution.</p>	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
2321 (2016)	28	<p><i>Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, copper, nickel, silver and zinc, and that all Member States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK</p>	
2371 (2017)	8 ⁷⁷	<p><i>Decides</i> that paragraph 26 of resolution 2321 (2016) shall be replaced by the following:</p> <p><i>“Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, coal, iron, and iron ore, and that all States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK, <i>decides</i> that for sales and transactions of iron and iron ore for which written contracts have been finalised prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution, and <i>decides further</i> that this provision shall not apply with respect to coal that the exporting State confirms on the basis of credible information has originated outside the DPRK and was transported through the DPRK solely for export from the Port of Rajin (Rason), provided that the exporting State notifies the Committee in advance and such transactions involving coal originating outside of the DPRK are unrelated to generating revenue for the DPRK’s nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution</p>	

77

Refer to entry of OP 8 of UNSCR 2371 (2017) for updated requirements.

(con't) Part II (j) (Trade-related) (DPRK) Other Measures: Economic/Sectoral Sanctions	UNSCR	OP	Extract of Provision
	(con't) Part II (j) DPRK	II (j) Other	Measures: Economic/Sectoral Sanctions (Trade-related)
	2371 (2017)	9	<p><i>Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, seafood (including fish, crustaceans, mollusks, and other aquatic invertebrates in all forms), and that all States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, and <i>further decides</i> that for sales and transactions of seafood (including fish, crustaceans, mollusks, and other aquatic invertebrates in all forms) for which written contracts have been finalised prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution</p>
2371 (2017)	10	<p><i>Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, lead and lead ore, and that all States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, and <i>further decides</i> that for sales and transactions of lead and lead ore for which written contracts have been finalised prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution</p>	
2375 (2017)	13	<p><i>Decides</i> that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of all condensates and natural gas liquids, and <i>decides</i> that the DPRK shall not procure such materials</p>	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
2375 (2017)	14	<p><i>Decides that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of all refined petroleum products, decides that this provision shall not apply with respect to procurement by the DPRK or the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of refined petroleum products in the amount of up to 500 000 barrels during an initial period of three months beginning on 1 October 2017 and ending on 31 December 2017, and refined petroleum products in the amount of up to 2 000 000 barrels per year during a period of twelve months beginning on 1 January 2018 and annually thereafter, provided that ... (b) the supply, sale, or transfer of refined petroleum products involve no individuals or entities that are associated with the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017) or this resolution, including designated individuals or entities, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, directly or indirectly, or individuals or entities assisting in the evasion of sanctions, and (c) the supply, sale, or transfer of refined petroleum products are exclusively for livelihood purposes of DPRK nationals and unrelated to generating revenue for the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017) or this resolution ...</i></p>	
2375 (2017)	15	<p><i>Decides that all Member States shall not supply, sell, or transfer to the DPRK in any period of twelve months after the date of adoption of this resolution an amount of crude oil that is in excess of the amount that the Member State supplied, sold or transferred in the period of twelve months prior to adoption of this resolution, unless the Committee approves in advance on a case-by-case basis a shipment of crude oil is exclusively for livelihood purposes of DPRK nationals and unrelated to the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution</i></p>	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part	II (j) Other	Measures: Economic/ Sectoral Sanctions (Trade-related)
	DPRK		
2375 (2017)	16	<p><i>Decides</i> that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, textiles (including but not limited to fabrics and partially or fully completed apparel products), and that all States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, unless the Committee approves on a case-by-case basis in advance, and <i>further decides</i> that for such sales, supplies, and transfers of textiles (including but not limited to fabrics and partially or fully completed apparel products) for which written contracts have been finalised prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 90 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 135 days after the date of adoption of this resolution</p>	
2397 (2017)	4	<p><i>Decides</i> that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of all crude oil, unless the Committee approves in advance on a case-by-case basis a shipment of crude oil which is exclusively for livelihood purposes of DPRK nationals and unrelated to the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution, <i>further decides</i> that this prohibition shall not apply with respect to crude oil that, for a period of twelve months after the date of adoption of this resolution, and for twelve months periods thereafter, does not exceed 4 million barrels or 525 000 tons in the aggregate per twelve month period, and decides that all Member States providing crude oil shall provide a report to the Committee every 90 days from the date of adoption of this resolution of the amount of crude oil provided to the DPRK</p>	

Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related) (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/Sectoral Sanctions (Trade-related)		
	DPRK		
2397 (2017)	5	<p><i>Decides that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles, and whether or not originating in their territories, of all refined petroleum products, decides that the DPRK shall not procure such products, further decides that this provision shall not apply with respect to procurement by the DPRK or the direct or indirect supply, sale, or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles, and whether or not originating in their territories, of refined petroleum products, including diesel and kerosene, in the aggregate amount of up to 500 000 barrels during a period of twelve months beginning on January 1, 2018, and for twelve month periods thereafter, provided that (a) the Member State notifies the Committee every thirty days of the amount of such supply, sale, or transfer to the DPRK of refined petroleum products along with information about all the parties to the transaction, (b) the supply, sale, or transfer of refined petroleum products involve no individuals or entities that are associated with the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, including designated individuals or entities, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, directly or indirectly, or individuals or entities assisting in the evasion of sanctions..., directs the Panel of Experts to closely monitor the implementation efforts of all Member States to provide assistance and ensure full and global compliance ...</i></p>	
2397 (2017)	6	<p><i>Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, food and agricultural products (HS codes 12, 08, 07), machinery (HS code 84), electrical equipment (HS code 85), earth and stone including magnesite and magnesia (HS code 25), wood (HS code 44), and vessels (HS code 89), and that all States shall prohibit the procurement of the above-mentioned commodities and products from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, clarifies that the full sectoral ban on seafood in paragraph 9 of resolution 2371 (2017) prohibits the DPRK from selling or transferring, directly or indirectly, fishing rights...</i></p>	

Part II(j) Other Measures (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (j) Other Measures: Economic/ Sectoral Sanctions (Trade-related)		
	DPRK		
2397 (2017)	7	<p><i>Decides that all Member States shall prohibit the direct or indirect supply, sale or transfer to the DPRK, through their territories or by their nationals, or using their flag vessels, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of all industrial machinery (HS codes 84 and 85), transportation vehicles (HS codes 86 through 89), and iron, steel, and other metals (HS codes 72 through 83) ...</i></p>	

Part II (k) Other Measures: Vigilance and other Financial Measures (DPRK)	UNSCR	OP	Extract of Provision
	Part II (k) Vigilance and other financial measures		
	DPRK		
1695 (2006)	4	<i>Requires</i> all Member States...to exercise vigilance and prevent the procurement of missiles or missile related-items, materials, goods and technology from the DPRK, and the transfer of any financial resources in relation to DPRK's missile or WMD programmes	
1874 (2009)	19 ⁷⁸	<i>Calls upon</i> all Member States and international financial and credit institutions not to enter into new commitments for grants, financial assistance, or concessional loans to the DPRK , except for humanitarian and developmental purposes directly addressing the needs of the civilian population, or the promotion of denuclearisation, and also <i>calls upon</i> States to exercise enhanced vigilance with a view to reducing current commitments	
2087 (2013)	6 ⁷⁹	<i>Recalls</i> paragraph 18 of resolution 1874 (2009), and <i>calls upon</i> Member States to exercise enhanced vigilance in this regard, including monitoring the activities of their nationals, persons in their territories, financial institutions, and other entities organised under their laws (including branches abroad) with or on behalf of financial institutions in the DPRK, or of those that act on behalf or at the direction of DPRK financial institutions, including their branches, representatives, agents and subsidiaries abroad	
2094 (2013)	24 ⁸⁰	<i>Calls upon</i> States to exercise enhanced vigilance over DPRK diplomatic personnel so as to prevent such individuals from contributing to the DPRK's nuclear or ballistic missile programmes, or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), and this resolution, or to the evasion of measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), or this resolution	
2321 (2016)	20	<i>Recalls</i> that paragraph 18 of resolution 2270 (2016) requires all States to inspect the cargo within or transiting through their territory, including their airports, that has originated in the DPRK, or that is destined for the DPRK, or has been brokered or facilitated by the DPRK or its nationals, or by individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, or by designated individuals or entities, or that is being transported on DPRK-flagged aircraft, <i>emphasises</i> that this measure requires States to inspect DPRK-flagged aircraft when they land in or take off from their territory, recalls also that paragraph 31 of resolution 2270 (2016) requires all States to prevent the sale or supply, by their nationals or from their territories or using their flag vessels or aircraft, of aviation fuel, to the territory of the DPRK, and <i>calls upon</i> all States to exercise vigilance to ensure that no more fuel is provided to DPRK-flagged civil passenger aircraft than is necessary for the relevant flight, including a standard margin for safety of flight	

**Part II (k): Other Measures: Vigilance and Other Financial Measures
(DPRK only)**

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- 78 Originally grouped under Vigilance Measures in *2013 Guidance*.
79 Originally grouped under Vigilance Measures in *2013 Guidance*.
80 Originally grouped under Vigilance Measures in *2013 Guidance*.

Part II (k) Other Measures: Vigilance and other Financial Measures (DPRK) (con't)	UNSCR	OP	Extract of Provision
	(con't) Part II (k) Vigilance and other financial measures		
	DPRK		
2321 (2016)	34	<i>Expresses concern</i> that DPRK nationals are sent to work in other States for the purpose of earning hard currency that the DPRK uses for its nuclear and ballistic missile programmes, and <i>calls upon States to exercise vigilance over this practice</i>	
2321 (2016)	35 ⁸¹	<i>Reiterates</i> its concern that bulk cash may be used to evade measures imposed by the Security Council , and <i>calls upon</i> Member States to be alert to this risk	
2397 (2017)	13	<i>Expresses concern</i> that DPRK-flagged, controlled, chartered, or operated vessels intentionally disregard requirements to operate their automatic identification systems (AIS) to evade UNSCR sanctions monitoring by turning off such systems to mask their full movement history and <i>calls upon</i> Member States to exercise enhanced vigilance with regards to such vessels conducting activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution	

81

Extends the items to which the measures of UNSCR 2094 (2013), OPs 11 and 14 apply.

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